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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 09/941,237
Filed: August 28, 2001
Art Unit: 3732
Applicant: Chapoulaud et al.
Title: CUSTOM ORTHODONTIC APPLIANCE FORMING METHOD
AND APPARATUS
Attorney Docket: ORM-166CI

Cincinnati, Ohio 45202

November 13, 2001

Box: MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

MISSING PARTS TRANSMITTAL

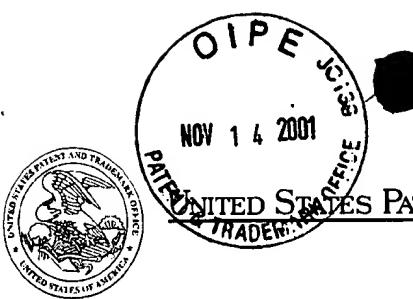
In response to the Notice to File Missing Parts, please find enclosed herewith a copy of such Notice along with an executed declaration. Also enclosed is a check in the amount of \$954.00 which includes: the surcharge of \$130 for filing the Declaration on a date later than the filing date of the application, in accordance with 37 C.F.R. 1.16(e); \$740.00 for basic filing fee; and \$84.00 for one additional independent claim over 3.

The Commissioner is hereby authorized to charge payment of any additional fees or credit any overpayment to Deposit Account No. 23-3000.

Respectfully submitted,
WOOD, HERRON & EVANS, L.L.P.

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/941,237 | 08/28/2001 | Eric Chapoulaud | ORM-166CI |

CONFIRMATION NO. 5289

FORMALITIES LETTER



OC000000006840675

WOOD, HERRON & EVANS, L.L.P.
 2700 Carew Tower
 441 Vine St.
 Cincinnati, OH 45202

Date Mailed: 10/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$80.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 920.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/15/2001 GTEFFERA 0000002 09941237

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| 01 FC:101 | 740.00 OP |
| 02 FC:102 | 84.00 OP |
| 03 FC:105 | 130.00 OP |